Attorney Docket No. 108298511US1 Disclosure No. 00-0176.00/US

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-00321 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10/662,901

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			Application Number	10	0/662,901		
TRANSMITTAL			Filing Date	S	eptember 15, 2003		
FORM			First Named Inventor	S	cott G. Meikle		
(to be used for all correspondence after initial filing)		Art Unit	3	729			
,			Examiner Name	٦)	o Be Assigned)		
Total Number of Pages in	This Submission	4	Attorney Docket Numbe	r 10	08298511US1		
		ENCLO	SURES (Check all th	at apply	()		
Fee Transmittal For	m .	☐ Draw	ving(s)		After Allowance communication to Group		
Fee Attached		Licer	censing-related Papers		Appeal Communication to Board		
Amendment/Reply		Petition			of Appeals and Interferences		
After Final	After Final Affidavits/declaration(s)		Petition to Convert to a Provisional Application Power of Attorney, Revocation		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
_					Proprietary Information		
Extension of Time Request		Change of Correspondence Addr		dress	Status Letter		
Express Abandonm			ninal Disclaimer		Other Enclosures:		
Information Disclos	ure Statement	Request for Refund			Form PTO-1449 Return Receipt Postcard		
Certified Copy of Priority Document(s)		CD, Number of CD(s)			2) Neturn Necept Fostcard		
Response to Missing Parts/ Incomplete Application		Remark	S				
Response to Missing Parts under 37 CFR 1.52 or 1.53							
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Firm or Paul Individual name	T. Parker						
Signature	and Fa	Ekn					
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I hereby certify that this with sufficient postage a 1450 on the date shown	s first class mail ir	s being facs n an envelor	simile transmitted to the USF pe addressed to: Commissio	PTO or d oner for F	eposited with the United States Postal Service Patents, P.O. Box 1450, Alexandria, VA 22313-		
Typed or printed name Stephen P. Whelan							
Signature	Stephant.	whelm	m	Date	January 3, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 108298511US1 Disclosure No. 00-0176.01/US

by certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: January 3, 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

SCOTT G. MEIKLE

EXAMINER: (TO BE ASSIGNED)

APPLICATION NO.:

10/662,901

ART UNIT:

3729

FILED:

SEPTEMBER 15, 2003

CONF. NO:

1279

For:

METHOD AND APPARATUS FOR

FORMING A PLANARIZING PAD HAVING

A FILM AND TEXTURE ELEMENTS FOR

PLANARIZATION OF

MICROELECTRONIC SUBSTRATES

Supplemental Information Disclosure Statement Within Three Months of Application Filing or Before First Action - 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Timing of Submission 1.

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 C.F.R. § 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

A copy of the cited U.S. Patents and U.S. Applications has not been enclosed.

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3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

Respectfully submitted, Perkins Coie LLP

Date: January 3, 2005

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Sheet

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 (Modified) (Use several sheets if necessary)

of

COMPLETE IF KNOWN				
Application Number	10/662,901			
Confirmation Number	1279			
Filing Date	September 15, 2003			
First Named Inventor	Scott G. Meikle			
Group Art Unit	3729			
Examiner Name	(To Be Assigned)			
Attorney Docket No.	108298511US1			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.		Code	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,782,682		Han et al.	07/21/1998	
		5,791,969		Lund	08/11/1998	
		6,110,015		Christianson et al.	08/29/2000	
		6,332,832	B1	Suzuki	12/25/2001	
		2004/0154533	A1	Agarwal et al.	02/05/2004	
		2004/0166792	A1	Agarwal et al.	02/05/2004	

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EXAMINER		DATE CONSIDERED				
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in					
l	conformance and not considered. Include copy of this form with next communication to application(s).					

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Date: January 3, 2005

By. Stepher P. Whelen

Stephen P. Whelan